

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor	:	David Leigh Donoho
Serial No.	:	09/782,754
Filed	:	February 12, 2001
Art Unit	:	2154
Confirmation Number	:	1053
Examiner	:	Siddiqi, Mohammad A.
Title	:	Method and Apparatus for Computed Relevance Messaging
Attorney Docket No.	:	UNIV0001C

August 22, 2007

Assistant Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Examiner:

This Information Disclosure Statement is submitted:

- ☒ (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ () under 37 CFR 1.97(c) together with either a:
☐ () Certification under 37 CFR 1.97(e), or
☐ () a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ () under 37 CFR 1.97(d) together with a:
☐ () Certification under 37 CFR 1.97(e), and
☐ () a \$180.00 fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. UNIV0001C).

(X) Applicant(s) submit herewith PTO Form 1449 (Modified) -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449 (Modified), as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO Form 1449 (Modified) and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael A. Glenn
Reg. No. 30,176

Customer No. 22862

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty. Docket No.	Serial No.:
	UNIV0001C	09/782,752
	Filing Date:	February 12, 2001
	Applicant:	David Leigh Donoho
	Confirmation No.	Group Art Unit:
	1053	2154

NPL Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	1	Gabber, E., P. Gibbons, Y. Matias, and A. Mayer, How to Make Personalized Web Browsing Simple, Secure, and Anonymous, Lucent Technologies
	2	Palme, J., J. Karlgren, and D. Pargman, Issues when Designing Filters in Messaging Systems, Computer Communications, 1996
	3	"Maximum Security , a Hacker's Guide tp Protecting Your Internet Site and Network", Anonymous, Sams.net Publishing, (1997)
	4	"Network Security: How to Plan for It and Achieve It", Richard H. Baker, McGraw-Hill, Inc. (1995)
	5	"Mobile Code Security", Dr. Laurie Brown, http://www.adfa.oz.au , (1999).
	6	"E-Mail with a Mind of It's Own: The Safe-Tcl Language for Enabled Mail", Nathaniel S. Borenstein, Bellcore--for ULPAA '94, Barcelona
	7	"MIME: Mechanisms for Specifying and Describing the Format of Internet Message Bodies", N. Borenstein and N. Freed, Network Working Group (Sep. 1993)
	8	Agent Sourcebook, Caglayan and Harrison, Wiley Computer Publishing, (1997)
	9	"Channel Definition Format" Ellerman, Microsoft Corp. (1997).
	10	"Notification for Proxy Caches", Hallam-Baker, W3C Working Draft-Proxy 960221

	11	"Introducing SSL and Certificates", F. Hirsch, www.celocom.com/faq/sslandcerts.html (1997)
	12	"Digital Signature Initiative", A. Kotok, www.w3c.org/dsig/ , (1998).
	13	"Rating Services and Rating Systems (and their Machine Readable Descriptions)", J. Miller et al., www.w3.org/TR/REC-PICS-services-961031
	14	"Java Security", S. Oaks, O'Reilly & Associates, (1998)
	15	"Security in Computing", C. Pfleeger, http://www.prenhall.com (1997)
	16	"Pretty Good Privacy--PGP for Personal Privacy, Version 5.0," PGP User's Guide
	17	"Privacy and Profiling on the Web", www.w3.org/TR/NOTE-Web-Privacy.html , (1997)
	18	"Lightweight Directory Access Protocol", W. Yeong et al., www.umich.edu/~dirsvcs/ldap/doc/rfc/rfc1777/txt (1995)
	19	TipWorld (includes a clean version snap-shot taken from WayBack Machine Internet Archive, copy of original web page is no longer available)
	20	InfoBeat

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.